



7-26-01

1744 1700  
Docket No. 5401/CMP/RKK

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hon. Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Re: Inventors: John M. White, Ying Yu and Michael Sugarman  
Title: WAFER SCRUBBING DEVICE HAVING BRUSH ASSEMBLY AND MOUNTING ASSEMBLY  
FORMING SPHERICAL JOINT  
Serial No.: 09/838,959  
Filed: April 19, 2001  
Examiner: n/a  
Group Art Unit: n/a

Transmitted herewith is:

- ☒ Information Disclosure Statement  
☒ PTO Form 1449 with one cited reference  
☒ Return Postcards

RECEIVED  
JUL 31 2001  
TC 1700

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$80.00	\$0.00
Basic Filing Fee				\$710.00	\$0.00
TOTAL FEES					\$0.00

\_\_\_ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

XX The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

XX Please address all future correspondence to:

PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O.BOX 450A  
Santa Clara, CA. 95052

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Express Mail Receipt No. ET351896182US

Date of Deposit: July 25, 2001

Signature: Valerie G. Dugan

Respectfully submitted,

*Valerie G. Dugan*

Valerie G. Dugan  
Registration No. 36,125  
(914) 332-9081

BEST AVAILABLE COPY



Express Mail Label No. ET351896182US

PATENTS  
5401/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : John M. White, Ying Yu and Michael Sugarman  
Serial No. : 09/838,959  
Filed : April 19, 2001  
For : WAFER SCRUBBING DEVICE HAVING BRUSH ASSEMBLY  
AND MOUNTING ASSEMBLY FORMING SPHERICAL JOINT  
Group Art Unit : n/a

Hon. Assistant Commissioner  
for Patents  
Washington, D.C. 20231

BEST AVAILABLE COPY

INFORMATION DISCLOSURE STATEMENT

RECEIVED

JUL 31 2001

TC 1700

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 5,475,889, Thrasher et al.

This reference is also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449) and a copy is  
enclosed.



Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

*Valerie G. Dugan*

Valerie G. Dugan, Esq.  
Registration No. 36,125  
Dugan & Dugan, LLP  
Attorneys for Applicants  
(914) 332-9081

Dated: July 25, 2001  
Tarrytown, New York

**BEST AVAILABLE COPY**

**RECEIVED**  
**JUL 31 2001**  
**TC 1700**